



## Provident Bank Broker Recertification Form

Broker Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Broker Number: \_\_\_\_\_ AE: \_\_\_\_\_

Broker of Record/Principal Name: \_\_\_\_\_

Re: Annual Recertification

1. Any changes in ownership, addresses or licensing structure  
If yes, please explain: \_\_\_\_\_ Yes NO
2. Have you, your company or an affiliate ever been removed from a Lender, investor or private mortgage insurance company's "Approved Mortgage Brokerage" (or equivalent) list? If yes, please explain: \_\_\_\_\_ Yes NO
3. Have you, your company or an affiliate ever been disciplined by a local, state, or federal regulatory agency?  
If yes, please explain: \_\_\_\_\_ Yes NO
4. Return completed form with most recent year-end financials (Profit and Loss Statement and year-ending Balance Sheet) or Profit and Loss Statement and Balance Sheet dated within in 90 days. Financials must be signed by a principal of the company.

Please return the requested documentation with your Annual Recertification Form by email to [SFRBrokerAdmin@myprovident.com](mailto:SFRBrokerAdmin@myprovident.com):

\_\_\_\_\_  
Broker / Principal Signature

\_\_\_\_\_  
Date